

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Case No. 12672US01

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AUG 09 2004

In the Application of:)
)
ROBERT CAREY)
)
Serial No.: 09/839,478)
)
Filed: August 15, 2000)
)
For: TECHNIQUES OF SELECTING)
SECURITIES FOR A PORTFOLIO)
USING BUYBACK RATIO AND)
DIVIDEND YIELD)
)
Examiner: Nicholas D. Rosen)
)
Group Art Unit: 3625)
)
Confirmation No.: 9992)

*Ok to enter
NDR*

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. 703-872-9306) on August 9, 2004.


Lawrence M. Jarvis, Esq., Reg. No. 27,341

SECOND AMENDMENT AFTER FINAL UNDER 37 CFR § 1.116

Commissioner for Patents
Mail Stop After Final
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Dear Sir: